

2010 JUN 25 PM 3:00

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q94101

Gianfranco MARIS

Appln. No.: 10/574,481

Group Art Unit: 4122

Confirmation No.: 9127

Examiner: Carson Alan GROSS

Filed: December 1, 2006

For: PROCESS FOR THE CONTINUOUS PRODUCTION OF A COMPOSITION  
COMPRISING RUBBER, HYDROCARBON RESIN AND SOLVENT

**REQUEST FOR REFUND**

**MAIL STOP 16**

Director of the U.S. Patent and Trademark Office

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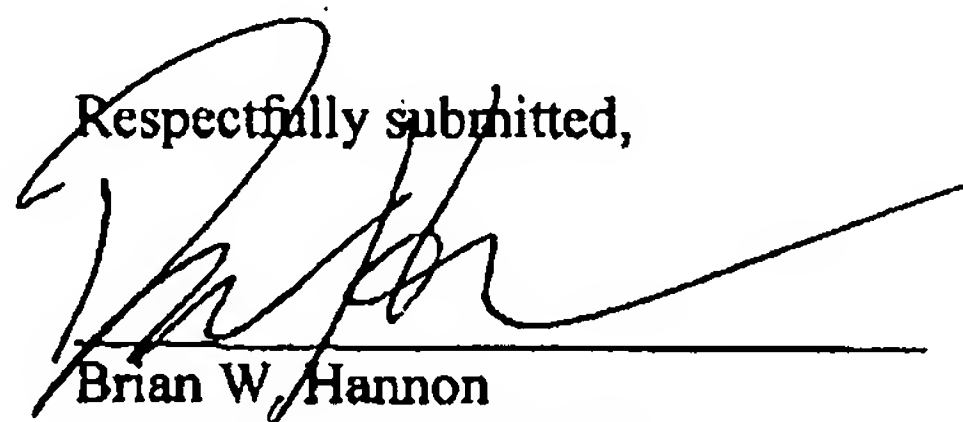
Alexandria, VA 22313-1450

Sir:

Applicant hereby respectfully requests a refund in the amount of \$755.00. This refund is  
to be credited to Deposit Account No. 19-4880.

A copy of the Small Entity Declaration filed December 1, 2006 along with date-stamped  
filing receipt is enclosed.

Respectfully submitted,



Brian W. Hannon

Registration No. 32,778

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: June 24, 2010

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17

**FILING RECEIPT**

**PLEASE DATE STAMP AND RETURN TO US - BOX 235X**

In re application of

Gianfranco MARIS

Appln. No.: 10/574,481

Group Art Unit: Not yet assigned

Confirmation No.: [confirmation\_no]

Examiner: Not yet assigned.

Filed: April 3, 2006

For: IMPROVED PROCESS FOR THE CONTINUOUS PRODUCTION OF A COMPOSITION  
COMPRISING RUBBER, HYDROCARBON RESIN AND SOLVENT

**PAPER(S) FILED ENTITLED:**

1. Response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (in duplicate) with a copy of the executed Declaration and Power of Attorney and Small Entity Declaration, copy of "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)", and Check No. 310270 in the amount of \$65.
2. Copy of an executed Assignment with PTO Form 1595 and Check No. 310266 in the amount of \$40.00.

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DOCKET NO.: Q94101  
ATTORNEY/SEC: BWH/hss  
Date Filed: December 1, 2006

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Applicant or Patentee:  
Serial or Patent No.:  
Filed or Issued:  
For:

Attorney's Docket No.:

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c)) — SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concerned empowered to act on behalf of the concern identified below:

NAME OF CONCERN F.LLI MARIS S.p.A.  
ADDRESS OF CONCERN Corso Moncenisio 22  
I-10090 ROSTA (Torino) Italy

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "Improved process for the continuous production of a composition comprising rubber, hydrocarbon resin and solvent"

Gianfranco MARIS

by inventor(s)

described in:

- ☐ the specification filed herewith  
☒ application serial no. PCT/EP2004/010874, filed on September 29, 2004  
☐ patent no. , issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☒ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING GIANFRANCO MARIS

TITLE OF PERSON OTHER THAN OWNER PRESIDENTE C.D.A. (President)

ADDRESS OF PERSON SIGNING VIA TRENTO 23

SIGNATURE [Signature] DATE May 11, 2006